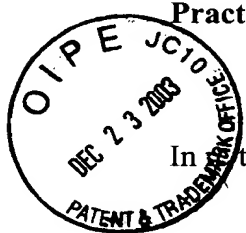


Image

12-24-03

1775



Practitioner's Docket No.: 789\_071

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of: Shuhei ISHIKAWA, Tsutomu MITSUI, Ken SUZUKI,  
Nobuaki NAKAYAMA, Hiroyuki TAKEUCHI and  
Seiji YASUI

Ser. No.: 09/913,353

Art Unit: 1775

Filed: August 13, 2001

Examiner: Archene A. Turner

Confirmation No.: 8579

For: HEAT SINK MATERIAL AND METHOD OF PRODUCING THE SAME

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 23, 2003 under "EXPRESS MAIL" mailing label number EL 975170762 US.  
*Elizabeth A. VanAntwerp*  
Elizabeth A. VanAntwerp

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97(c)

TIME OF TRANSMITTAL OF ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either:
  - (1) a final action under §1.113,
  - (2) a notice of allowance under §1.311 or
  - (3) an Office Action that closes prosecution.

STATEMENT OR SUBMISSION FEE

2. Accompanying this transmittal is

A. ☒ a statement as specified in 37 CFR §1.97(e).

OR


B. ☐ the submission fee set forth in 37 CFR §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00).

Fee due: \$ 00.00

**METHOD OF PAYMENT OF FEE**

3. ☐ Attached is a check in the amount of \$\_\_\_\_\_.
- ☐ Charge Account No. 50-1446 in the amount of \$\_\_\_\_\_. A duplicate of this request is attached.
4. ☒ If any additional fees are due, please charge Deposit Account 50-1446.

Respectfully submitted,

  
\_\_\_\_\_  
Stephen P. Burr  
Reg. No. 32,970

December 23, 2003  
Date

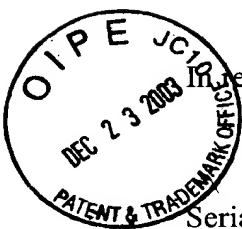
SPB/eav

BURR & BROWN  
P.O. Box 7068  
Syracuse, NY 13261-7068

Customer No.: 025191  
Telephone: (315) 233-8300  
Facsimile: (315) 233-8320

Practitioner's Docket No.: 789\_071

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re patent application of: Shuhei ISHIKAWA, Tsutomu MITSUI, Ken SUZUKI,  
Nobuaki NAKAYAMA, Hiroyuki TAKEUCHI and  
Seiji YASUI

Serial No.: 09/913,353

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Elizabeth A. VanAntwerp

CERTIFICATION UNDER 37 CFR §1.97(e)

Sir:

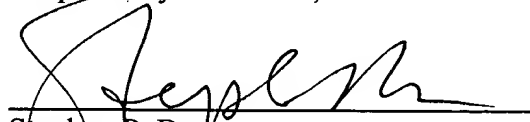
- ☒ Each item of information contained in the Information Disclosure Statement filed herewith was *first* cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

OR

- ☐ No item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

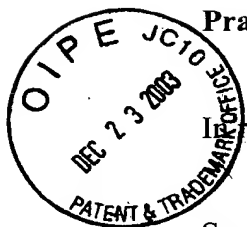
December 23, 2003  
Date

  
Stephen P. Burr  
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Practitioner's Docket No.: 789\_071

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of: Shuhei ISHIKAWA, Tsutomu MITSUI, Ken SUZUKI,  
Nobuaki NAKAYAMA, Hiroyuki TAKEUCHI and Seiji YASUI

Ser. No.: 09/913,353

Art Unit: 1775

Filed: August 13, 2001

Examiner: Archene A. Turner

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Alexandria, VA 22313-1450

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*Elizabeth A. VanAntwerp*  
Elizabeth A. VanAntwerp

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Copies of each of the references listed on Form PTO-1449 are attached.

Also enclosed is a copy of a Chinese Office Action (with an English-language translation thereof) bearing a mailing date of October 31, 2003 from a corresponding Chinese patent application, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein.

In compliance with the concise explanation requirement under 37 CFR § 1.98(a)(3) for foreign language documents, U.S. Patent No. 6,110,577 (Ref. BC) corresponds to CN 1201256 (Ref. BD).

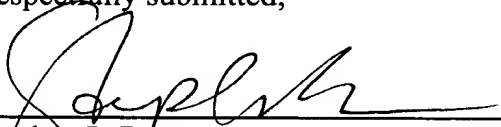
The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

Respectfully submitted,

December 23, 2003

Date

  
\_\_\_\_\_  
Stephen P. Burr  
Reg. No. 32,970

SPB/eav

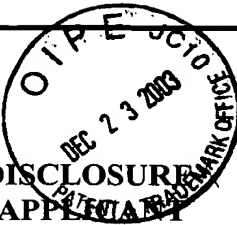
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Form PTO-1449

US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

*Complete if Known*

Application Number	09/913,353
Filing Date	August 13, 2001
First Named Inventor	Shuheishi ISHIKAWA
Art Unit	1775
Examiner Name	Archene A. Turner
Confirmation No.	8579
Attorney Docket No.	789_071

Sheet

1

of

1

**U.S. PATENT DOCUMENTS**

Exam. Initial		Document Number	Date	Name	Our Docket No.	Class	Sub Class	Filing Date
	<b>BC</b>	6,110,577	08-29-2000	Ishikawa et al.	789_008			

**U.S. COPENDING APPLICATIONS**

Exam. Initial		Application/ Publication Number	Filing/ Publication Date	Inventor Name	Our Docket No.	Class	Sub Class

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub Class	Translation	Abstract
	<b>BD</b>	1201256 A	12-09-1998	CN				

**OTHER DOCUMENTS**

(Including Author, Title, Date, Pertinent Pages etc.)


Examiner:

Date Considered:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.